



3762

Please type a plus sign (+) inside this box →

+

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/976,776	
	Filing Date	October 12, 2001	
	First Named Inventor	Fries, William M.	
	Group Art Unit	3762	
	Examiner Name	TBD	
Total Number of Pages in This Submission		Attorney Docket Number	71715

RECEIVED
JUL 18 2002
TECHNOLOGY CENTER R3700

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Form PTO-1449 (2 pages)
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. 30 References
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Return Receipt Postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual name	Scott J. Menghini, Reg. No. 42,880
Signature	
Date	July 12, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this date: <u>July 12, 2002</u>			
Typed or printed name	Scott J. Menghini		
Signature		Date	July 12, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Docket No. 71715

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Applicant(s): Fries et al.

Application No.: 09/976,776

Filed: October 12, 2001

Title: FLUID FLOW PATH FOR A FLUID
TREATMENT SYSTEM USING LIGHT
FOR THE DECONTAMINATION OF
FLUID PRODUCTS

Group Art Unit: 3762

Examiner: TBD

I hereby certify that this paper is being deposited with
the United States Postal Service as first class mail in
an envelope addressed to

Commissioner for Patents

Washington, DC 20231

on this date.

07/12/02

Date

Scott J. Menghini

Registration No.

Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

This Information Disclosure Statement is submitted:

X Under 37 CFR §1.97(b) (within three months of the filing date of a national application or date of entry of an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last). However, should a first Office Action on the merits be mailed unbeknownst to the undersigned prior to the date of mailing of this paper, the fee requested under §1.17(p) may be charged to Deposit Account No. 06-1135.

____ Under 37 CFR §1.97(c) together with either:
____ a statement under 37 CFR §1.97(e), or
____ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

IDS

Application No. 09/976,776

Page 2

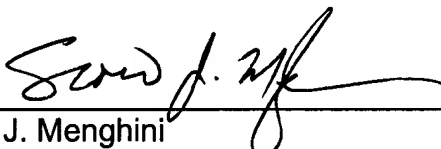
____ Under 37 CFR §1.97(d) together with:
____ a statement under 37 CFR §1.97(e), and
____ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(c) time period, but on or before payment of
the issue fee).

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with thirty (30) references listed as items AA-BD.

It is requested that the information provided herein be made of record in this application.

Respectfully submitted,

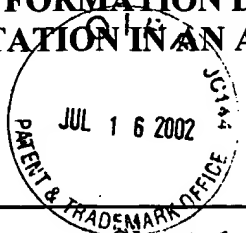
Dated: July 12, 2002



Scott J. Menghini
Attorney for Applicant(s)
Reg. No. 42,880
(858) 552-1311

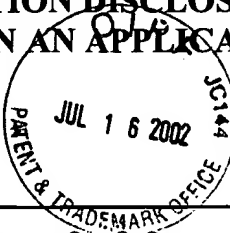
Address all Correspondence to:

FITCH, EVEN, TABIN & FLANNERY
120 So. LaSalle Street
Suite 1600
Chicago, IL 60603
(858) 552-1311

Form PTO-1449 (Modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION 	Application No.	09/976,776
	Filing Date	October 12, 2001
	First Named Inventor	Fries, William M.
	Group Art Unit	3762
	Examiner Name	N/A
Sheet 1 of 2		Attorney Docket No. 71715

RECEIVED
 JUL 18 2002
 TECHNOLOGY CENTER RS700

U.S. PATENT DOCUMENTS									
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	FILING DATE
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
	AA		2001/0046450	A1	Laub et al.	11-29-2001			12-22-2000
	AB		09/976,597		Fries et al.				10-12-2001
	AC		4,769,131		Noll et al.	09-06-1988			10-03-1986
	AD		4,831,268		Fisch et al.	05-16-1989			11-10-1988
	AE		4,866,282		Miripol et al.	09-12-1989			02-17-1988
	AF		4,871,559		Dunn et al.	10-03-1989			04-28-1988
	AG		4,880,512		Cornelius et al.	11-14-1989			10-14-1988
	AH		4,904,874		Ellner	02-27-1990			08-02-1988
	AI		4,910,942		Dunn et al.	03-27-1990			08-11-1989
	AJ		4,952,812		Miripol et al.	08-28-1990			05-02-1989
	AK		5,034,235		Dunn et al.	07-23-1991			06-08-1989
	AL		5,133,932		Gunn et al.	07-28-1992			09-26-1990
	AM		5,230,792		Sauska et al.	07-27-1993			01-24-1990
	AN		5,247,178		Ury et al.	09-21-1993			12-12-1991
	AO		5,489,442		Dunn et al.	02-06-1996			04-18-1994
	AP		5,768,853		Bushnell et al.	06-23-1998			03-12-1996
	AQ		5,770,147		Müller	06-23-1998			11-15-1996
	AR		5,786,598		Clark et al.	07-28-1998			05-22-1996
	AS		5,871,459		Müller	02-16-1999			04-07-1997
	AT		5,900,211		Dunn et al.	05-04-1999			10-31-1996
	AU		5,922,278		Chapman et al.	07-13-1999			11-19-1996
	AV		5,925,885		Clark et al.	07-20-1999			05-01-1997
	AW		5,951,509		Morris	09-14-1999			11-19-1997
	AX		6,087,783		Eastlund et al.	07-11-2000			02-03-1998

Form PTO-1449 (Modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION 	Application No.	09/976,776
	Filing Date	October 12, 2001
	First Named Inventor	Fries, William M.
	Group Art Unit	3762
	Examiner Name	N/A
Sheet 2 of 2	Attorney Docket No.	71715

U.S. PATENT DOCUMENTS									
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	FILING DATE
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
	AY		6,117,335		Bender	09-12-2000			02-23-1998
	AZ		6,165,711		Dorner et al.	12-26-2000			11-18-1998
	BA		6,190,608	B1	Laub et al.	02-20-2001			03-28-1998
	BB		6,190,609	B1	Chapman et al.	02-20-2001			05-18-1998
	BC		6,193,681	B1	Davidner et al.	02-27-2001			09-14-1998
	BD		6,200,466	B1	Bender	03-13-2001			07-16-1999

Examiner Signature		Date Considered	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			